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Barbara Haggerty

Name

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Signature

January 28, 2004

Date of Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Application Of: George C. Lee et al.

Serial No.: 10/670,960

Filed: September 25, 2003

For: Seismic Isolation Bearing

Examiner: Unknown

Art Unit: 3682

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
Pursuant to 37 CFR 1.56

Applicant wishes to make of record the following references under the provisions of 37 C.F.R. §1.97 (legible copies are provided).

IDS For: Seismic Isolation Bearing  
Inventor: George C. Lee et al.

References cited

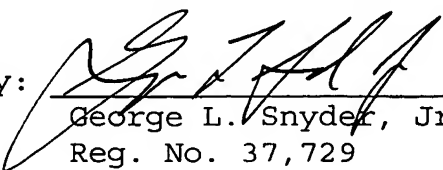
U.S. Pat. No. 1,761,660  
U.S. Pat. No. 4,105,177  
U.S. Pat. No. 4,462,955  
U.S. Pat. No. 4,596,373  
U.S. Pat. No. 5,035,394  
U.S. Pat. No. 5,071,261  
U.S. Pat. No. 5,357,723  
U.S. Pat. No. 5,599,106  
U.S. Pat. No. 5,716,037  
U.S. Pat. No. 5,867,951  
U.S. Pat. No. 6,321,492 B1  
European No. 0 439 272 A2  
United Kingdom Pat. No. 1,091,611  
PCT No. WO 95/23267  
PCT No. WO 01/42593

Please see attached Form 1449 for list of publications.  
Legible copies of all publications listed are enclosed.

The person making this statement is the patent attorney who signs below on the basis of the information supplied by the inventors, and as the attorney associated with the filing and prosecution of this application (37 CFR 1.56(a)).

Respectfully Submitted,

By:

  
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PTO/SB/08A(02/03)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

3

Application Number

10/670,960

Filing Date

09/25/2003

First Named Inventor

George C. Lee et al.

First Unit

3682

Examiner Name

Unknown

Attorney Docket Number

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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US-1,761,660	06-03-1930	F.D. Cummings	
	2	US-4,105,177	08-08-1978	Smith et al.	
	3	US-4,462,955	07-31-1984	Albin et al.	
	4	US-4,596,373	06-24-1986	Omi et al.	
	5	US-5,035,394	07-30-1991	Haak	
	6	US-5,071,261	12-10-1991	Stüve	
	7	US-5,357,723	10-25-1994	Sasaki et al.	
	8	US-5,599,106	02-04-1997	Kemeny	
	9	US-5,716,037	02-10-1998	Haak	
	10	US-5,867,951	02-09-1999	Yaguchi et al.	
	11	US-6,321,492 B1	11-27-2001	Robinson	
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	12	EP 0 439 272 A2	07-31-1991	Sumitomo Gomu Kogyo Kabushiki		
	13	GB 1,091,611	11-22-1967	John Michael Conway-Jones et al.		
	14	W0 95/23267	08-31-1995	Van Parera Wilhelmus		
	15	WO 01/42593 A2	06-14-2001	The Research Foundation of The State University of New York at Buffalo		

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Signature

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Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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